



## Northwestern University

### **CENTER FOR AUDIOLOGY, SPEECH, LANGUAGE AND LEARNING (NUCASLL) NOTICE OF PRIVACY PRACTICES**

**THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.**

#### **NU STUDENTS**

If you are a student of Northwestern University, we will maintain your medical information in accordance with the Family Educational Rights and Privacy Act, as amended, 20 U.S.C. 1232 g ("FERPA"). FERPA contains a right of access, subject to certain limitations, and restrictions on disclosure. Please refer to your Student Handbook and in the Office of the Registrar's website, <http://www.registrar.northwestern.edu/ferpa/>, for detailed information about Northwestern University's FERPA policies. In addition to FERPA, we will also maintain your medical information in accordance with applicable Illinois law, including but not limited to: the Illinois Speech-Language Pathology and Audiology Practice Act and the rules promulgated thereunder, the Illinois Code of Civil Procedure, the Illinois Mental Health and Developmental Disabilities Code and the Illinois Mental Health and Developmental Disabilities Confidentiality Act.

#### **PATIENTS (OTHER THAN NU STUDENTS)**

Northwestern University ("NU") Department of Communication Sciences and Disorders is one of the health care components of NU. NU is a not-for-profit teaching and research institution. The Department operates three clinics: (i) Audiology Clinic, (ii) Speech, Language and Learning Clinic and (iii) Voice, Speech and Language Service and Swallowing Center. All services provided at the clinics are overseen and supervised by Northwestern University faculty who are licensed health care professionals. Students of NU participate in clinical care as part of their education and training. This Notice applies to the information practices of the Department and its staff, faculty and students.

We are committed to protecting your medical information. We maintain records of services provided for your care and treatment. This Notice describes how we may use and disclose your medical information contained in the records. We are required by law to:

- Maintain the privacy of your medical information;
- Give you this Notice of our legal duties and privacy practices with respect to your medical information; and
- Follow the terms of this Notice or the current notice in effect.

This Notice is effective August 1<sup>st</sup>, 2014. This Notice will remain in effect until we amend or replace it. We reserve the right to amend or replace this Notice at any time, and to apply the terms of the revised notice to all medical information that we maintain. All such amendments or revised notices will be in accordance with applicable law. You may obtain a copy of the current notice on our website, [www.communication.northwestern.edu](http://www.communication.northwestern.edu) by contacting our Privacy Officer or Assistant Privacy Officer. Our Privacy Officer is the chairperson of the Roxelyn and Richard Pepper Department of Communication Sciences and Disorders, currently Sumitrajit Dhar ([s-dhar@northwestern.edu](mailto:s-dhar@northwestern.edu); 847 491 3066). Our Assistant Privacy Officer, Aaron Wilkins, [NUCASLL@northwestern.edu](mailto:NUCASLL@northwestern.edu); 847-491-3165.

The following sections describe different ways that we may use and disclose your medical information. For each category of uses or disclosures, we explain what we mean and give some examples. Not every use or disclosure is listed. However, every use of disclosure falls into one of these categories. Also, in some cases Illinois law may limit us from disclosing special types of medical information. For example, Illinois law generally requires that we get your permission before disclosing mental health, alcohol/drug use and abuse, and HIV/AIDS information.

## **ABOUT THIS NOTICE**

The Northwestern University Center for Audiology and Speech Language and Learning is committed to protecting your health information. This Notice of Privacy Practices (“Notice”) is provided pursuant to the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) as revised in the 2013 HIPAA Omnibus Rule. This Notice describes how we may use and disclose your protected health information to carry out treatment, payment or audiological and speech language and learning /health care operations and for other purposes that are permitted or required by law. This Notice also describes your rights and our duties with respect to your protected health information.

“Protected health information” is information about you that may identify you and that relates to your past, present or future physical or mental health/condition and related audiological and speech language and learning/health care services. We must follow the privacy practices that are described in this Notice while it is in effect. If you have any questions about this Notice, please contact our Assistant Privacy Officer, Aaron Wilkins, at (847) 491-3165 or [NUCASLL@Northwestern.edu](mailto:NUCASLL@Northwestern.edu).

## **HOW WE MAY USE AND DISCLOSE YOUR PROTECTED HEALTH INFORMATION**

The following categories describe the different ways that we may use and disclose your protected health information. These examples are not meant to be exhaustive, but to illustrate the types of uses and disclosures that may be made.

### **1. Treatment**

We may use and disclose your protected health information to provide, coordinate, or manage your audiological and speech, language and learning treatment and any related services. We may also disclose your protected health information to other third party providers involved in your audiological and speech, language and learning /health care. For example, your protected health information may be provided to a physician or other audiological and speech language and learning /health care provider (e.g. a specialist or laboratory) to whom you have been referred to ensure that the physician or other audiological and speech language and learning /health care provider has the necessary information to diagnose or treat you.

### **2. Payment**

We may use and disclose your protected health information so that the treatment and health care services you receive may be billed to you, your insurance company, a government program, or third party payors. This may include certain activities that your health insurance plan may undertake before it approves or pays for the audiological and speech language and learning /health care services we recommend for you, such as making a determination of eligibility or coverage for insurance benefits, reviewing services provided to you for medical necessity, and undertaking utilization review activities. For example, we may provide your health plan with medical information about the audiological and speech language and learning /health care services that the Northwestern University Center for Audiology, Speech, Language and Learning rendered to you for reimbursement purposes.

## **Audiological/Health Care Operations**

We may use and disclose your protected health information for audiological and speech language and learning /health care operation purposes. These uses and disclosures are necessary to make sure that all of our patients receive quality care and for our operation and management purposes. For example, we may use your protected health information to review the quality of the treatment and services you receive and to evaluate the performance of our team members in caring for you. We also may disclose information to audiologists, speech language pathologists physicians, nurses, technicians, medical students and other personnel for educational and learning purposes.

### **3. Treatment Communications**

We may provide treatment communications concerning treatment alternatives or other health related products or services. For communications for which we or a business associate may receive financial remuneration in exchange for making the communication, we must obtain written authorization unless the communication is made face-to-face and/or involving promotional gifts of nominal value. If you do not wish to receive these communications please submit a written request to our Assistant Privacy Officer, Aaron Wilkins, Northwestern University Center for Audiology, Speech, Language and Learning, 2315 Campus Drive, Evanston, IL 60208.

### **4. Fundraising Activities**

We may use or disclose your demographic information and dates of services provided to you, as necessary, in order to contact you for fundraising activities supported by Northwestern University Center for Audiology, Speech, Language and Learning. You have the right to opt out of receiving fundraising communications. If you do not want to receive these materials, please submit a written request to our Assistant Privacy Officer, Aaron Wilkins, NUCASLL, 2315 Campus Drive, Evanston, IL 60208.

### **5. Others Involved in Your Healthcare**

Unless you object, we may disclose to a member of your family, a relative, a close friend or any other person you identify, your protected health information that directly relates to that person's involvement in your health care. If you are unable to agree or object to such a disclosure, we may disclose such information as necessary if we determine that it is in your best interest based on our professional judgment. Also, for example, if you are brought into this office and are unable to communicate normally with your clinician for some reason, we may find it is in your best interest to give your hearing instrument and other supplies to the friend or relative who brought you in for treatment. We may also use and disclose protected health information to notify such persons of your location, general condition, or death. We also may coordinate with disaster relief agencies to make this type of notification. We also may use professional judgment and our experience with common practice to make reasonable decisions about your best interests in allowing a person to act on your behalf to pick up your hearing instruments, supplies, records, or other things that contain protected health information about you.

### **6. Required by Law**

We may use or disclose your protected health information to the extent that the use or disclosure is required by law. The use or disclosure will be made in compliance with the law and will be limited to the relevant requirements of the law. You will be notified, as required by law, of any such uses or disclosures.

### **7. Public Health**

We may disclose your protected health information for public health activities and purposes to a public health authority that is permitted by law to collect or receive the information. The disclosure will be made for the purpose of controlling disease, injury or disability. We may also

disclose your protected health information, if directed by the public health authority, to a foreign government agency that is collaborating with the public health authority.

#### **8. Business Associates**

We may disclose your protected health information to our business associates that perform functions on our behalf or provide us with services if the information is necessary for such functions or services. To protect your health information, however, we require the business associate to appropriately safeguard your information.

#### **9. Communicable Diseases**

We may disclose your protected health information, if authorized by law, to a person who may have been exposed to a communicable disease or may otherwise be at risk of contracting or spreading the disease or condition.

#### **10. Health Oversight**

We may disclose your protected health information to a health oversight agency for activities authorized by law, such as audits, investigations, and inspections. Oversight agencies seeking this information include government agencies that oversee the audiological and speech, language and learning /health care system, government benefit programs, other government regulatory programs and civil rights laws.

#### **11. Abuse or Neglect**

We may disclose your protected health information to a public health authority that is authorized by law to receive reports of abuse or neglect. In addition, we may disclose your protected health information if we believe that you have been a victim of abuse, neglect or domestic violence to the governmental entity or agency authorized to receive such information. In this case, the disclosure will be made consistent with the requirements of applicable federal and state laws.

#### **12. Food and Drug Administration**

We may disclose your protected health information to a person or company required by the Food and Drug Administration to report adverse events, product defects or problems, biologic product deviations, track products to enable product recalls, to make repairs or replacements, or to conduct post marketing surveillance, as required by law.

#### **13. Legal Proceedings**

We may disclose your protected health information in the course of any judicial or administrative proceeding, in response to an order of a court or administrative tribunal (to the extent such disclosure is expressly authorized), and in certain conditions in response to a subpoena, discovery request or other lawful process.

#### **14. Law Enforcement**

We may disclose your protected health information, so long as applicable legal requirements are met, for law enforcement purposes.

#### **15. Coroners, Funeral Directors, and Organ Donation**

We may disclose your protected health information to a coroner or medical examiner for identification purposes, determining cause of death or for the coroner or medical examiner to perform other duties authorized by law. We may also disclose your protected health information to a funeral director, as authorized by law, in order to permit the funeral director to carry out its duties. We may disclose such information in reasonable anticipation of death. Protected health information may be used and disclosed for cadaveric organ, eye or tissue donation purposes.

## **16. Research**

We may disclose your protected health information to researchers when their research has been approved by an institutional review board that has reviewed the research proposal and established protocols to ensure the privacy of your protected health information.

## **17. Serious Threat to Health or Safety**

Consistent with applicable federal and state laws, we may disclose your protected health information to prevent or lessen a serious threat to your health and safety or to the health and safety of another person or the public.

## **18. Military Activity and National Security**

If you are involved with military, national security or intelligence activities or if you are in law enforcement custody, we may disclose your protected health information to authorized officials so they may carry out their legal duties under the law.

## **19. Workers' Compensation**

We may disclose your protected health information as authorized for workers' compensation or other similar programs that provide benefits for a work-related illness.

## **20. For Data Breach Notification Purposes**

We may use or disclose your protected health information to provide legally required notices of unauthorized access to or disclosure of your health information.

## **21. Required Uses and Disclosures**

Under the law, we must make disclosures to you and when required by the Secretary of the U.S. Department of Health and Human Services to investigate or determine our compliance with the requirements of Section 164.500 et. Seq.

## **SPECIAL PROTECTIONS FOR HIV, ALCOHOL AND SUBSTANCE ABUSE, MENTAL HEALTH AND GENETIC INFORMATION**

Certain federal and state laws may require special privacy protections that restrict the use and disclosure of certain health information, including HIV-related information, alcohol and substance abuse information, mental health information, and genetic information. Some parts of this Notice may not apply to these types of information.

## **USES AND DISCLOSURES OF PROTECTED HEALTH INFORMATION BASED UPON YOUR WRITTEN AUTHORIZATION**

The following uses and disclosures will be made only with your written authorization:

- 1.** Uses and disclosures of protected health information for marketing purposes for which we or a business associate may receive remuneration; and
- 2.** Disclosures that constitute a sale of protected health information.

Other uses and disclosures of your protected health information not described in this Notice will be made only with your written authorization, unless otherwise permitted or required by law. You may revoke this authorization, at any time, in writing, except to the extent that Northwestern University Center for Audiology, Speech, Language and Learning have taken an action in reliance on the use or disclosure indicated in the authorization. Additionally, if a use or disclosure of protected health information described above in this Notice is prohibited or materially limited by other laws that apply to use, it is our intent to meet the requirements of the more stringent law.

## **YOUR RIGHTS REGARDING YOUR PROTECTED HEALTH INFORMATION**

The following is a statement of your rights with respect to your protected health information and a brief description of how you may exercise these rights.

### **1. Right to be Notified if there is a Breach of Your Protected Health information**

You have the right to be notified upon a breach of any of your unsecured protected health information.

### **2. Right to Inspect and Copy**

You may inspect and obtain a copy of your protected health information that is contained in your medical and billing records and any other records that Northwestern University Audiology and Speech, Language and Learning Clinics use for making decisions about you. To inspect and copy your medical information, you must submit a written request to our Assistant Privacy Officer, Aaron Wilkins, Northwestern University Speech, Language and Learning Clinic, 2315 Campus Drive, Evanston, IL 60208. If you request a copy of your information, we may charge you a reasonable fee for the costs of copying, mailing or other costs incurred by us in complying with your request. Under federal law, you may not inspect or copy the following records: psychotherapy notes; information compiled in reasonable anticipation of, or use in, a civil, criminal, or administrative action or proceeding; and protected health information that is subject to law that prohibits access to protected health information. Depending on the circumstances, we may deny your request to inspect and/or copy your protected health information. A decision to deny access may be reviewable. Please contact our Assistant Privacy Officer, Aaron Wilkins, at (847) 491-3165 or [NUCASLL@northwestern.edu](mailto:NUCASLL@northwestern.edu) if you have questions about access to your medical record.

### **3. Right to Request Restrictions**

You may ask us not to use or disclose any part of your protected health information for the purposes of treatment, payment or healthcare operations. You may also request that any part of your protected health information not be disclosed to family members or friends who may be involved in your care or for notification purposes as described in this Notice. To request a restriction on who may have access to your protected health information, you must submit a written request to our Assistant Privacy Officer, Aaron Wilkins, NUCASLL, 2315 Campus Drive, Evanston, IL 60208. Your request must state the specific restriction requested and to whom you want the restriction to apply. Northwestern University Audiology and Speech, Language and Learning Clinics are not required to agree to a restriction that you may request, unless you are asking us to restrict the use and disclosure of your protected health information to a health plan for payment or audiological and speech, language and learning /health care operation purposes and such information you wish to restrict pertains solely to a audiological and speech, language and learning /health care item or service for which you have paid us "out-of-pocket" in full. If we believe it is in your best interest to permit the use and disclosure of your protected health information, your protected health information will not be restricted. If we do agree to the requested restriction, we may not use or disclose your protected health information in violation of that restriction unless it is needed to provide emergency treatment.

### **4. Right to Request Confidential Communication**

You have the right to request to receive confidential communications from us by alternative means or at an alternative location. We will accommodate reasonable requests. You must request this by submitting a written request to our Assistant Privacy Officer, Aaron Wilkins, Northwestern University Speech, Language and Learning Clinic, 2315 Campus Drive, Evanston, IL 60208.

## **5. Right to Request Amendment**

You may request an amendment of your protected health information contained in your medical and billing records and any other records that NUCASLL use for making decisions about you, for as long as we maintain the protected health information. You must request for an amendment by submitting a written request to our Assistant Privacy Officer, Aaron Wilkins, Northwestern University Speech, Language and Learning Clinic, 2315 Campus Drive, Evanston, IL 60208, and provide the reason(s) that support your request. In certain cases, we may deny your request for an amendment. If we deny your request for an amendment, you have the right to file a statement of disagreement with us and we may prepare a rebuttal to your statement and will provide you with a copy of any such rebuttal.

## **6. Right to an Accounting of Disclosures**

You have the right to receive an accounting of certain disclosures we have made, if any, of your protected health information. This right applies to disclosures for purposes other than treatment, payment or healthcare operations as described in this Notice. It excludes disclosures we may have made to you, for a resident directory, to family members or friends involved in your care, or for notification purposes. The right to receive this information is subject to certain exceptions, restrictions and limitations. Additionally, limitations are different for electronic health records. You must request for an accounting of disclosures by submitting a written request to our Assistant Privacy Officer, Aaron Wilkins, Northwestern University Speech, Language and Learning Clinic, 2315 Campus Drive, Evanston, IL 60208, and provide the reason(s) that support your request.

## **7. Right to Obtain a Paper Copy of this Notice**

You have the right to receive a paper copy of this Notice even if you have agreed to receive this notice electronically. You may ask us to give you a copy of this notice at any time. To obtain a paper copy of this Notice, you can contact our Assistant Privacy Officer, Aaron Wilkins, at (847) 491-3165 or [NUCASLL@northwestern.edu](mailto:NUCASLL@northwestern.edu). You may also obtain a copy of this Notice at [www.communication/northwestern.edu/clinic](http://www.communication/northwestern.edu/clinic).

## **COMPLAINTS OR QUESTIONS**

If you believe your privacy rights have been violated, you may file a complaint with us or with the Secretary of the U.S. Department of Health and Human Services. If you have a question about this Notice or wish to file a complaint with us, please contact our Assistant Privacy Officer, Aaron Wilkins, at (847) 491-3165 or [NUCASLL@northwestern.edu](mailto:NUCASLL@northwestern.edu) or the Corporate Privacy Officer at the address listed below. All complaints must be submitted in writing. NUCASLL will not retaliate against you for filing a complaint.

## **CHANGES TO THIS NOTICE**

We reserve the right to change this Notice at any time. The new Notice will be effective for all health information we already have about you as well as any information we receive in the future. You can also obtain a revised Notice at [www.communication.northwestern.edu/clinic](http://www.communication.northwestern.edu/clinic) or by contacting our Assistant Privacy Officer, Aaron Wilkins, NUCASLL, 2315 Campus Drive, Evanston, IL 60208.

Northwestern University Center for Audiology, Speech, Language and Learning  
Attn: Corporate Privacy Officer

*This Notice is effective as of August 1, 2014*

